

2020 Community-based Juvenile Services Aid Request for Application (RFA)

Pursuant to Nebraska Revised Statute §43-2404.02, the Nebraska Commission on Law Enforcement and Criminal Justice (Nebraska Crime Commission) is pleased to announce that it is seeking applications for qualified counties and tribes in Nebraska.

ELIGIBILITY

Applicants are limited to individual counties, multiple counties, federal or state recognized Indian tribes, or any combination of the entities listed above. Applicants are required to develop, adopt, and submit a comprehensive juvenile services community plan to the Nebraska Crime Commission. High priority will be given to those grant applications funding programs and services that will divert youth from the juvenile justice system, impact and effectively treat juveniles within the system, and reduce the juvenile detention population or assist juveniles in transitioning from out-of-home placements to in-home treatments. Programs and services shall be designed to serve and target youth who are eleven through eighteen years of age.

AWARD PERIOD

July 1, 2020 - June 30, 2021

AWARD AMOUNT

\$6,048,000

DEADLINE

All applications are due by 5:00 p.m. (CST) on Friday, December 20, 2019

CONTACT INFORMATION

For assistance with the requirements of this solicitation, contact:

Amy Hoffman, Director | 402.471.3846 | <u>Amy.Hoffman@nebraska.gov</u> Jessica Svoboda, Financial Monitor | 402.471.3420 | <u>Jessica.Svoboda@nebraska.gov</u>

In accordance with the Americans with Disabilities Act, Nebraska will provide reasonable accommodation with respect to a grant application to persons with disabilities. If you need a reasonable accommodation, please contact the Nebraska Crime Commission at 402.471.2194.

(TTY 1.800.833.7352)

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2020 Community-based Juvenile **Services Aid Request for Application** (RFA)

OVERVIEW

The Nebraska Crime Commission is designated by the Governor of Nebraska as the State Administering Agency for criminal justice and victims' assistance programs. Pursuant to Nebraska Revised Statute §43-2404.02, the Community-based Juvenile Services Aid Program shall be apportioned as aid in accordance with a formula based on the total number of residents per county and federal or state recognized Indian tribes. Ten percent of the Community-based Juvenile Services Aid Program shall be set aside for the development of a common dataset and evaluation of program effectiveness. All programs funded through Community-based Juvenile Services Aid that serve youth shall report individual-level data. All programs that are not directly serving youth shall report program-level data as deemed appropriate. The formula allocation amounts are located on pages 21-23 of this request for application. Counties and tribes may request an amount up to the predetermined allocation on the 2020 Community-based Juvenile Services Aid [CB] Application.

DEADLINE

The 2020 Community-based Juvenile Services Aid [CB] Application and 2020 Community-based Juvenile Services Aid Enhancement [EB] Application must be submitted by 5:00 p.m. (CST) on Friday, December 20, 2019. Only electronic submission through the NCC Grants Management System (GrantVantage) will be accepted. Refer to application submission section on page 10 for instructions.

ELIGIBILITY

Applicants are limited to individual counties, multiple counties, federal or state recognized Indian tribes, or any combination of the entities listed. These listed entities are herein referred to as communities. If two or more communities partner on a single application, there must be one community designated as the Lead County/Lead Tribe to receive and disburse grant funds. Counties and tribes may contract and sub-award to private or non-profit agencies to administer programs and services with community-based funds. The Lead County/Lead Tribe is responsible for all funds and must adhere to all requirements and contingences as outlined by the Nebraska Crime Commission.

Applicants are required to develop, adopt, and submit a comprehensive juvenile services community plan (community plan) to the Nebraska Crime Commission. Funding priorities shall be identified within this community plan. Local data and community collaboration will assist in determining the priorities and strategies used to address needs of the community. Funding requests must directly align with the community planning priorities that have been identified by the community planning team. This process allows communities to identify priorities which will establish programs and services that will divert youth from the juvenile justice system.

Community plans are located at https://ncc.nebraska.gov/community-comprehensive-juvenile-services-plans.

FUNDING PURPOSE

Funds received through the Community-based Juvenile Services Aid Program shall be used exclusively to assist the aid recipient in the implementation and operation of programs or the provision of services identified in the aid recipient's community plan, including programs for local planning and service coordination; screening, assessment, and evaluation; diversion; alternatives to detention; family support services; treatment services; truancy prevention and intervention programs; pilot projects approved by the commission; payment of transportation costs to and from placements, evaluations, or services; personnel when the personnel are aligned with evidence-based treatment principles, programs, or practices; contracting with other state agencies or private organizations that provide evidence-based treatment or programs; preexisting programs that are aligned with evidence-based practices or best practices; and other services that will positively impact juveniles and families in the juvenile justice system. Programs and services shall be designed to serve and target youth who are eleven through eighteen years of age.

Priority for funding will be for those grant applications funding programs and services that will divert juveniles from the juvenile justice system, impact and effectively treat juveniles within the juvenile justice system, and reduce the juvenile detention population or assist juveniles in transitioning from out-of-home placements to in-home treatments. The goal is for these funds to serve as many youths as possible in Nebraska.

Special Attention Required

Allocation Amount

While counties and tribes have a predetermined formula based allocation, the entire allocation is not required to be requested. Special consideration and attention on the amount of funds necessary to operate the programs requested needs to take priority, with a thorough examination on the amount of funds turned back each year. In the future, the Crime Commission may rely on the ability to appropriately spend the awarded amount of funds as a relevant factor in determining future allocation amounts. The Crime Commission also reserves the right to rescind and reduce award amounts during the project period if deemed necessary and appropriate.

System Improvement Costs

While efforts for system improvement are an important part of the process, special consideration on the amount of funds allocated toward system improvement costs that do not directly serve youth should be thoroughly examined by the community planning team. In the future, limits may be placed on system improvement costs.

Prevention/Promotion Programs

The brief in Appendix A of the Appendices will be utilized to determine funding for prevention and promotion programs that are requested through the 2020 Community-based Juvenile Services Aid Program. This brief outlines nine key components for principles of effective programs which include the following categories:

- 1. Program Characteristics
- 2. Matching the Program with Target Populations
- 3. Implementation and Evaluation

To receive funds through the Community-based Juvenile Services Aid Program, prevention and promotion programs must demonstrate how they are striving to meet all nine principles of effective programming in the 2020 grant application. It has been a challenge for prevention and promotion programs to justify alignment with the statutory purpose of these funds. In order for the Juvenile Justice Institute to evaluate recidivism and other important measures to determine the effectiveness of programs funded through this grant program, prevention and promotion programs must adhere to the principles of effective programs.

Post-adjudicated Youth

In partnership with the Administrative Office of Probation, it has been agreed that programs, services, and placements primarily benefiting youth adjudicated delinquent, do not qualify for funding through the Community-based Juvenile Services Aid Program.

Alternative to Detention Facility

If requesting funds for the one-time use to convert an existing juvenile detention facility or other existing structure for use as an alternative to detention pursuant to Nebraska Revised Statute §43-2404.02(3)(c), please contact the Community-based Juvenile Services Aid Program Manager to complete a separate form that is required to be submitted in addition to the grant application.

COMPREHENSIVE JUVENILE SERVICES COMMUNITY PLAN

To be eligible for the Community-based Juvenile Services Aid Program, a three year comprehensive juvenile services community plan (community plan) shall be developed, adopted, and submitted to the Nebraska Crime Commission. The community plan must be developed by a community team representing juvenile justice system stakeholders. The community team may be comprised of individual counties, multiple counties, federal or state recognized tribes, or any combination of the listed communities. Community plans shall:

- Provide relevant county/tribe-level data, including but not limited to, types of data listed within the community planning resources that support the existence of the problem that the planning team will address;
- Identify clearly defined community priorities of the community planning team, that includes defining a problem, or set of problems, that affect juveniles at risk or those already involved in the criminal justice system;
- Identify programs and practices for addressing the community's priorities. Such programs and practices shall be supported by evidence-based practice, research, or are standardized and have reliably demonstrated positive outcomes in other areas of juvenile services;
- · Identify clear implementation strategies; and
- Identify how the impact of the program or service will be measured in alignment with evidence-based practices
 or research.

"Any portion of the comprehensive juvenile services plan dealing with administration, procedures, and programs of the juvenile court shall not be submitted to the commission without the concurrence of the presiding judge or judges of the court or courts having jurisdiction in juvenile cases for the geographic area to be served. Programs or services established by such plans shall conform to the family policy tenets prescribed in sections 43-532 and 43-533 and shall include policies and practices that are research-based or standardized and reliable and are implemented with fidelity and which have been researched and demonstrate positive outcomes." (Nebraska Revised Statute §43-2404.01(2)). To be eligible for funding, community plans shall be submitted to the Nebraska Crime Commission for the timeframe July 1, 2018 – June 30, 2021.

It is essential that communities have programs to prevent youth from becoming unnecessarily involved in the juvenile justice system. These programs should be available at multiple points throughout the system, providing every opportunity to exit the system. Such programs rarely occur by chance; they are almost always the result of careful community planning. Community planning can also be used to: assess current programs, identify preventive measures to keep youth from entering the juvenile justice system, pinpoint duplication and gaps in services to youth, and focus on effective, research-proven strategies.

A community planning team should represent the unique needs of its community. A large community or region looks very different from a small community. There are differences in resources, personnel, and priorities. Thus, the planning team should be tailored around the identity of its community. Representatives from the following key access points should be included on the team: public and private education; prevention and mentoring programs; ministry and faith-based programs; law enforcement; juvenile diversion; juvenile probation; county attorneys in juvenile court; public defenders, defense attorneys, and guardians ad litem; office of juvenile service and health and human services; juvenile judges and juvenile court personnel; treatment providers; post-adjudication services; pre-adjudication services and providers; detention; consumers, including youth and families; and community-based organizations.

Examples of community plans, the community planning manual, and the community planning template are found at the following website: https://ncc.nebraska.gov/community-comprehensive-juvenile-services-plans. The updated community plans are not currently available on this website. The review process is in progress and the website will be updated when all community plans are reviewed and approved. In the interim, please contact the Nebraska Crime Commission and we will provide the contact information of the community plan that is being requested.

COMMUNITY-BASED JUVENILE SERVICES AID ENHANCEMENT

Pursuant to Nebraska Revised Statute §43-2404.02, funds that are not distributed to counties or tribes under the Community-based Juveniles Service Aid Program [CB] are retained by the Nebraska Crime Commission as the Community-based Juvenile Services Aid Enhancement Program [EB]. The EB Program is applied for and awarded separately on a competitive basis.

The EB program must adhere to the requirements of the CB program. The deadline, eligibility, funding purpose, community planning requirements, funding requirements, funding limitations, grant commencement and duration, grant approval timeline, application format, application submission, and evidence-based practice expectations for the EB program are the same as the requirements outlined in the CB program. Additionally, the EB grant application must maintain the same project personnel listed on the CB grant application. Priority for funding will be for those grant applications funding programs and services that will divert juveniles from the juvenile justice system, impact and effectively treat juveniles within the juvenile justice system, and reduce the juvenile detention population or assist juveniles in transitioning from out-of-home placements to in-home treatments. Consideration may be given on the grant management and compliance history, and ability of the applicant to adequately spend their funds with minimal turn back at the close of the grant.

EB Application Instructions: Please use the application instructions located in this Request for Application. The EB application includes the following sections that will be uploaded in the NCC Grants Management System:

Section I: Applicant InformationSection II: Program Summary

Section III: Budget

Section V: Memorandums of Understanding

Section VI: Required Forms

FUNDING REQUIREMENTS

Quarterly Reporting - All requests funded through the Community-based Juvenile Services Aid Program that serve juveniles shall report individual-level data. Any program that is not directly serving youth shall include program-level data. Data collected shall include, but is not limited to the following: type of program or service, how the service met the goals of the comprehensive juvenile services community plan, demographic information on the juveniles served, program outcomes, the total number of juveniles served, and the number of juveniles who completed the program or intervention (Neb. Rev. Stat. §43-2404.02 (Supp. 2015)).

Office of Civil Rights - Pursuant to 28 C.F.R. Section 42.302, all recipients of federal funds must be in compliance with Equal Employment Opportunity Plans (EEOP) and Civil Rights requirements. Although the Community-based Juvenile Services Aid Program is funded through the Nebraska State Legislature, the Nebraska Crime Commission implements best practices as responsible stewards for all grant funds within our agency and requires compliance with EEOP and Civil Rights. A violation may result in suspension or termination of funding pursuant to Title 75, Chapter 1.

Equal Employment Opportunity Assurance of Compliance - Compliance is required with the following federal laws which prohibit discrimination on the basis of race, color, national origin, religion, sex, age, or disability. Refer to Title VI of the Civil Rights Act of 1964; Omnibus Crime Control and Safe Streets Act of 1968; Section 504 of the Rehabilitation Act of 1973; Title II of the Americans with Disabilities Act of 1990: Age Discrimination Act of 1975; and Title IX of the Education Amendments of 1972.

Non-supplanting of Funds - Community-based Juvenile Services Aid funds cannot be used to supplant (replace) other existing funds. Funds presently appropriated for the project may not be deliberately decreased due to additional state funds made available through the Nebraska Crime Commission. The non-supplanting requirement mandates that grant funds cannot be used to supplant (replace) state, local, or tribal funds that would, in the absence of Community-based Juvenile Services Aid funds, be made available for grant purposes. Instead, Community-based Juvenile Services Aid grant funds must be used to supplement (increase) existing state, local, or tribal funds that were already budgeted for grant purposes. The application's budget breakdown should clearly explain funding requests to ensure supplanting will not occur by including how position(s) or costs are funded, why funding is no longer available, when support ends, or any temporary funding agreements or arrangements.

Certified Assurances, Lobbying, Drug-Free Workplace, and Debarment - Certified Assurances, Lobbying, Drug-Free Workplace, and Debarment forms must be signed and included with the grant application.

Special Conditions - Awarded grantees must sign Special Conditions which include, but are not limited to requirements under federal and state laws in addition to requirements for records retention, accounting, data collection, reporting, and any additional requirements of the Community-based Juvenile Services Aid Program. Grantees sub-awarding any of the award must also receive signed Special Conditions from the sub-award subgrantee.

Fiscal Requirements - Grantees and sub-award subgrantees must adhere to all requirements in the Community-based Juvenile Services Aid Financial Guidebook, Commingling of funds on either a program-by-program or project-byproject basis is prohibited. The grantee must maintain an accounting system that complies with the requirements in the Community-based Juvenile Services Aid Financial Guidebook.

Sub-Awards and Contracts - Counties and tribes may sub-award the Community-based Juvenile Services Aid award to private or non-profit agencies to administer programs with community-based funds. The awarding county/tribe must provide a sub-award with special conditions to be signed and agreed to by the sub-awarded subgrantee. All subaward requests must be included in the program summary and sub-award/contracts budget category in the application. Counties and tribes may also contract with service providers to provide a service. The county or tribe must have a current contract with the contractor that complies with the requirements in the Community-based Juvenile Services Aid Financial Guidebook.

Independent Contractors and Employees -When classifying a position as an independent contractor, please ensure that the position is in compliance with the Fair Labor Standards Act (FLSA). See https://www.dol.gov/whd/ and Appendix D for more information.

FUNDING LIMITATIONS

An annual salary shall not exceed 1.5% increase to his/her base pay for FY2020-2021 based on the Consumer Price Index (CPI), Midwest Region as established by the Bureau of Labor Statistics, U.S. Department of Labor. Employees covered by collective bargaining management agreements will receive increases as directed by the provisions of their labor agreements. Increases in alignment with county/tribe personnel will be considered with budgetary approval by the respective governing board. For additional information about the CPI-U, Midwest Region data, see:

https://www.bls.gov/regions/mountain-plains/news-release/ConsumerPriceIndex_Midwest.htm

Allowable Operating Expenses

Postage Expense: Cost of postal services, including advances for postage meter expenses, post office box rental, stamps, etc.

Communication Expense: Includes voice, data, and internet; costs for telephone and other telecommunications services.

Food and/or Beverage: Food and/or beverage costs for youth in conjunction with a program or service funded through the Community-based Juvenile Services Aid Program.

Dues & Subscription Expense: Costs of dues, subscription, memberships, royalty fees, annual license fees, notary fees; as it pertains to the Community-based Juvenile Services Aid Program. Subject to reviewer discretion.

Conference Registration: Registration fee for employees' attendance at a conference or similar event. Retention of a conference agenda is required.

E-Commerce Expense: Costs of renting webpage space and related fees. Costs and fees for using online information services and data bases.

Educational & Recreational Expense: Supplies used for educational (including training sessions and conferences) and recreational purposes such as sporting equipment, teaching aids, books, manuals, workbooks, videos, etc.

Utilities Expense: Includes natural gas, electricity, water, sewer, chilled water, coal, propane, and steam.

Auditing Expense: Contractual services for the state auditor or other auditing, accounting, and CPA firms.

Transportation for Youth: Costs of transporting youth to and from placements, evaluations, or services.

External Fees for Youth: Costs of youth fees paid to organizations for external activities. These activities should be effective in meeting program goals and outcomes.

Incentives: Costs for incentives provided to youth are allowable on a case-by-case basis. Provide proper documentation that illustrates a positive effect on youth.

Alternative to Detention Facility: One-time use to convert an existing juvenile detention facility or other existing structure for use as an alternative to detention as defined in Nebraska Revised Statute §43-245; to invest in capital construction, including both new construction and renovations, for a facility for use as an alternative to detention; or for the initial lease of a facility for use as an alternative to detention.

Unallowable Operating Expenses

Office Equipment: Includes purchase and rent of all office equipment and furniture, office furnishings, desks, chairs, bookcases, photocopiers and fax machines, etc.

Office Space: Includes purchase and rent of space for office, warehousing, permanent parking facilities and storage.

Overtime Costs: Costs of wages in excess of base rate of pay. This includes personnel for agencies who are subcontracted through this grant.

Office Supplies: Costs of office supplies, such as stationery, forms, paper, ink, unexposed film, desk mat, calendars, stapler, floor mats, pens, pencils, pictures, inkjet/toner cartridges, ribbons, bookends, key, batteries, books, etc. These include expenses incurred in publishing reports and legal notices, advertising, duplication and copying services, book binding, picture framing, film processing, photographic services, etc.

Indirect Organizational Costs: Costs of an organization that are not readily assignable to a particular project, but are necessary to the operation of the organization and the performance of the project. Examples of costs usually treated as indirect include those incurred for facility operation and maintenance, depreciation, and administrative salaries.

Construction of Facilities: Construction of secure detention facilities, secure youth treatment facilities, secure youth confinement facilities, capital construction of facilities, capital expenditures, and the lease or acquisition of such facilities, beyond the one-time use outlined in Nebraska Revised Statute §43-2404.02(3)(c).

Lobbying: The Anti-Lobbying Act, 18 U.S.C. §1913, contains significant restrictions on the use of funding for lobbying.

Food and/or Beverage: Food and/or beverages for any meeting, conference, training, etc. This restriction does not impact direct payment of per diem amounts to individuals attending a meeting or conference, in adherence with meal guidelines in the application instructions. Additionally, this restriction does not impact costs for youth in programs or receiving services through the Community-based Juvenile Services Aid Program.

GRANT COMMENCEMENT AND DURATION

Nebraska Crime Commission requires that funded projects be implemented within 30 days from the start date listed on the grant award, or as specified by the Community-based Juvenile Services Aid Program Manager. The project period for the 2020 Community-based Juvenile Services Aid Program is July 1, 2020 - June 30, 2021. Funds will not be disbursed until the prior month's expenditures have been incurred and requested for reimbursement.

GRANT APPROVAL TIMELINE

23	Sept	2019	Grant Announcement
20	Dec	2019	Application Due Date
TBD	Feb	2020	NCJJ Community Planning Advisory Subcommittee Review
TBD	Feb	2020	NCJJ Grant Subcommittee Review
TBD	Mar	2020	Commission Funding Panel Final Review and Funding Determination
TBD	May	2020	Nebraska Crime Commission (Appeals Only)

APPLICATION FORMAT

- Application sections and attachments will be completed and uploaded separately in the NCC Grants Management System (GrantVantage). Refer to Required Documents Checklist in Appendix C.
- All documents must be type written with unedited formatting, saved and submitted in PDF. Scanned copies will not be accepted other than signed certifications.
- Delete blank sections.

APPLICATION SUBMISSION

All applicants applying for 2020 Community-based Juvenile Services Aid Program [CB] and 2020 Community-based Juvenile Services Aid Enhancement Program [EB], shall submit an application using the NCC Grants Management System (GrantVantage). The application submission process in the NCC Grants Management System (GrantVantage) will be available for registration and submission beginning **December 1, 2019**. The announcements can be located by searching "2020 Community-based Aid [CB]" and "2020 Community-based Aid [EB]." Link found at ncc.nebraska.gov/grant-apps.

Prior to beginning the application submission process, have all documents from the Required Documents Checklist in Appendix C completed and ready for upload. All documents are required for a complete application.

Prior to applying, applicants must register their organization and complete the Organization Profile in the NCC Grants Management System. Applicants only need to create one profile for all NCC grants on GrantVantage. If a profile was created for a previous NCC application on NCC.grantvantage.com, please review and update the information.

The Nebraska Crime Commission will not accept late applications due to computer or submission issues that could have been mitigated with proper planning and time management. Applicants will be required to complete all grant sections. review required attachments and obtain signed certifications, read and respond to questions, and upload application sections and attachments. Please plan time accordingly.

GrantVantage will offer technical support during the application process until December 18, 2019 at 11:59 p.m. (CST). For software technical support, applicants may contact GrantVantage directly at support@grantvantage.com.

Applications are due December 20, 2019 at 5:00 p.m. (CST). Only electronic submission through the NCC Grants Management System (GrantVantage) will be accepted. No in-process applications will be accepted after 5:00 p.m. (CST) on December 20, 2019.

GrantVantage will host an Applicant webinar on the application submission process Tuesday, December 3, 2019 at 1:00 p.m. (CST). Webinar access information will be posted on the Crime Commission website.

APPLICATION INSTRUCTIONS

SECTION I: APPLICANT INFORMATION

Lead County/Tribe is the county or tribe serving as the official applicant. If a group of counties or tribes are combining their funds, only one county/tribe can be designated as the *Lead County/Lead Tribe*. This must be the county/tribe receiving and disbursing grant funds.

Federal Employer I.D. number must be the county or tribe serving as the applicant or lead agency.

List of Partnering Counties/Tribes are counties and tribes who agree, through a memorandum of understanding, to receive funds and provide services as outlined in the grant application.

Lead Project Contact is the individual who will serve as the main point of contact for the Crime Commission and Juvenile Justice Institute, and will receive all grant correspondence. This is the person responsible for the daily management of the grant, including reporting requirements. This person will receive a license to GrantVantage to manage the grant once it is awarded, unless a designee is appointed. This individual is best suited to answer questions and be most knowledgeable on the programs funded in the grant.

Secondary Project Contact is an optional point of contact that will be included in all correspondence related to requirements and training.

Fiscal Officer is the County Clerk or Treasurer in the Lead County, or the Tribe's Fiscal Officer in the Lead Tribe. This person may receive a license in GrantVantage to manage the fiscal responsibilities of the grant, unless a designee is appointed. **Authorized Official** is the County Board Chair or Tribal Council Chair of the Lead County or Lead Tribe.

SECTION II: PROGRAM SUMMARY

Program Type Table: The purpose of the Program Type Table is to provide the Juvenile Justice Institute (JJI) and the Nebraska Crime Commission (NCC) with the program types needed to set permissions to enter data into the Juvenile Case Management System (JCMS). Accurate classification of program types aid in local and state-level reports to state agencies and other stakeholders. Please complete the program type table to identify the primary goal of each program, service, or system improvement for which funds are being requested.

Each program type should be represented on a single line in the table; do not combine program types that share a common goal or funding (e.g., if two programs each aim to reduce absences). Each row should have only one program title, one over-arching type, one program type and one sub-program type. If a program has several funded components (e.g., staff salaries, curriculum, supplies, etc.), please combine these into a single row in the table. For this table, we are interested in knowing the total funds by program, but not specific things funded within each program.

Instructions for each column:

- **Program Title**: Include the name of the program (e.g., Happy Kids program), and not the name of the program component being funded (e.g., after school director).
 - **Over-arching Type, Program Type, and Sub-program Type**: Definitions are available on JJI's website. To select each of these, choose the program types that best align with the goals of the program and how those goals are achieved. If the JJI definitions do not apply to your program or if you are unsure, please contact JJI or NCC to assist you with selecting program types. Program Type Definitions can be found here.
- Currently Funded by CBA or New Request: Indicate if this is an existing program through the 2019
- CBA grant or a new program that will need access to JCMS for reporting.
- Amount Requested for each Program: Round up or down to the nearest dollar.

<u>NEW</u>: There are three program type narratives to choose from. Choose the narrative that aligns with the request on the program type table. Either: 1) New Request; 2) Currently Funded; or 3) System Improvement. Fill out system improvement narrative only for all system improvement requests regardless if new or existing. Delete unused narratives.

Program Type Narrative: NEW REQUEST: Complete all questions in the narrative table for each NEW program identified in the program type table. The questions will only need to be answered once for each program type rather than each separate request throughout the application.

- 1. Is this program or service funded in the 2019 [CB] application: Check "yes" or "no". If this program or service is not funded in the 2019 [CB] application then provide current, local data that demonstrates the need for this position in your community. When answering this question, use only local community-based data rather than national data. The data must be current, local, and representative of the applicant's county(s) or tribe(s), and directly support the need for the requested program or service. Contact the Juvenile Justice Institute for assistance in obtaining necessary data.
 - a. List all funding sources for this program since 2016, including grant program and number: When answering this question, provide all the ways this program is funded in whole or in part over the previous 3 years, including how it is currently funded. (i.e. Community-based Aid 17-CB-123; Community-based Aid 18-EB-456; Juvenile Services 18-JS-789; County Funds 2019).
 - b. List any pending applications for funding within the last 12 months or that will be submitted in the next 6 months that include requests for funding to support the same project being requested under this application: Provide any pending grants submitted or applications that will be submitted within the next 6 months that will request funding for the same program.
- 2. **Describe how this program or service uses practices that are supported by current evidence-based research:**Describe how the practices being used are supported by evidence and are effective or positively impacting youth. Examples may include, but are not limited to the following:
 - a. Use of evidence-based curriculums and incorporating evidence-based practices; and
 - b. Use of screening and assessment tools.
- 3. Describe how this program or services aligns with the funding purpose outlined in the Request for Application and meets the needs of juveniles and families in your community: Review the funding purpose on page 4 of this Request for Application. Describe how this program or service fits within that funding purpose and how this program or service will meet the needs of youth and families in your community.
- 4. Is this program or service listed as a strategy in your community plan? Check "yes" or "no". In accordance with Title 75, Chapter 1, each funding request is to be written consistent with the program development strategies outlined in the community plan. If no, then electronically submit the revised community plan that includes this request as a strategy. The community plan must be submitted with your application. If the program or service is not written consistent with the development strategies in your community plan, then the request shall be denied, unless a revised community plan is submitted to the Nebraska Crime Commission to include the necessary revisions.
- 5. Provide a description of the program or service by answering the following:
 - a. Purpose of the program: Describe how this program or service will address the priority listed in your community plan;
 - b. Skills and knowledge to be gained by the youth participants;
 - c. Description of key activities or services; and
 - d. Expected changes that the program will likely bring to your community.
- 6. **Describe the target population being served by this program or service**. Target population refers to the group(s) of youth a program or service is designed to serve. Applications should define the target population by answering the following:
 - a. Age, Gender, and Race/Ethnicity: List the age, gender, and race/ethnicity targeted by your program. Ensure that the program request is targeting the appropriate demographics based on best practices.
 - b. Juvenile Justice System Point: Use the definitions below to identify the juvenile justice system point that is being targeted with the program request.
 - Voluntary Services: law enforcement may or may not have contact with youth, but no citation has been issued and no referral has been made to the county/city attorney.
 - ii. Pre-filing: law enforcement citation or referral has been made to the county/city attorney, but there has not yet been a formal filing made in court.
 - iii. Pre-adjudicated: there has been a formal filing with the court that has not been adjudicated and the county is responsible for the cost of services.
 - iv. Post-adjudicated: the youth has been adjudicated in court and the state is responsible for the cost of services.
 - c. List the risk and criminogenic need factors being targeted by this program: See Appendix C in the Appendices for the list of risk and criminogenic need factors to be used in the grant application to

- answer this question.
- d. List the protective factors and assets being strengthened that address the risk and criminogenic need factors identified above: See Appendix C in the Appendices for the list of protective factors and assets to be used in the grant application to answer this question.

7. Explain the referral process by answering the following:

- a. List the agencies and/or individuals who refer youth to this program or service: List who your program receives referrals from on a regular basis.
- b. Explain the process used to determine appropriateness of youth for the program: For example, explain how a youth is the right "fit" for this program and how they would come to the attention of the agency or individual making the referral. Provide a step-by-step process used to determine how the youth enters the program, ensuring the youth is appropriate for the program.
- c. List the screening and/or assessment tools used to determine eligibility and appropriateness: List all screening and assessment tools used by the program.
- d. Do all referrals get accepted? Check the applicable box of "yes" or "no". If no, explain why referrals would not be accepted and under what circumstance(s) this may happen.
- 8. FOR PREVENTION/PROMOTION PROGRAMS ONLY: Describe how the program meets all nine principles of effective programming. Refer to prevention/promotion programs section on page 4 and Appendix A of this Request for Application regarding the nine principles of effective program. List all nine principles and how this program or service meets those principles. If the program does not meet all nine principles, describe what changes the program will implement to strive to meet all nine principles.

Program Type Narrative: CURRENTLY FUNDED: Complete all questions in the narrative table for each program identified in the program type table that is currently funded through 2019 CB. The questions will only need to be answered once for each program type rather than each separate request throughout the application.

- 1. **Is this program or service funded in the 2019 [CB] application:** Check "yes" or "no". If this program or service is not funded in the 2019 [CB] application, then complete the Program Type Narrative: NEW REQUEST.
 - a. List all funding sources for this program since 2016, including grant program and number: When answering this question, provide all the ways this program is funded in whole or in part over the previous 3 years, including how it is currently funded. (i.e. Community-based Aid 17-CB-123; Community-based Aid 18-EB-456; Juvenile Services 18-JS-789; County Funds 2019).
 - b. List any pending applications for funding within the last 12 months or that will be submitted in the next 6 months that include requests for funding to support the same project being requested under this application: Provide any pending grants submitted or applications that will be submitted within the next 6 months that will request funding for the same program.
- 2. Describe how this program or services aligns with the funding purpose outlined in the Request for Application and meets the needs of juveniles and families in your community: Review the funding purpose on page 4 of this Request for Application. Describe how this program or service fits within that funding purpose and how this program or service will meet the needs of youth and families in your community.
- 3. Is this program or service listed as a strategy in your community plan? Check "yes" or "no". In accordance with Title 75, Chapter 1, each funding request is to be written consistent with the program development strategies outlined in the community plan. If no, then electronically submit the revised community plan that includes this request as a strategy. The community plan must be submitted with your application. If the program or service is not written consistent with the development strategies in your community plan, then the request shall be denied, unless a revised community plan is submitted to the Nebraska Crime Commission to include the necessary revisions.
- 4. Describe any changes to this program or service since submission of the 2019 application in the following areas. If no changes, indicate such.
 - a. Use of practices supported by current evidence-based research: Describe any changes or put N/A if the program functions exactly the same way and has not added or changed any use of evidencebased practices.
 - b. Purpose of the program: Describe any changes in how this program or service will address the priority listed in your community plan;

- c. Skills and knowledge to be gained by the youth participants;
- d. Description of key activities or services; and
- 5. **Describe any issues encountered with program implementation**: Describe what is happening that is hindering progress with the program.
 - a. Explain the steps taken to address the issues with implementation.
- 6. Describe the impact on the community this program has had over the last year in keeping with the funding purpose outlined in the Request for Application: Provide any accomplishments and progress made by this program that has positively impacted youth and families in your community and has kept with the funding purpose in the Request for Application and/or kept youth out of the juvenile justice system.
- 7. FOR PREVENTION/PROMOTION PROGRAMS ONLY: Describe how the program meets all nine principles of effective programming. Refer to prevention/promotion programs section on page 4 and Appendix A of this Request for Application regarding the nine principles of effective program. List all nine principles and how this program or service meets those principles. If the program or service did not meet any of the principles in the previous application, describe how the deficiency is being addressed within the program. If the program does not meet all nine principles, describe what changes the program will implement to strive to meet all nine principles.

Program Type Narrative: SYSTEM IMPROVEMENT: Fill out system improvement narrative only for all system improvement requests regardless if new or existing.

- 1. Is this program or service funded in the 2019 [CB] application: Check "yes" or "no". If this program or service is not funded in the 2019 [CB] application then provide current, local data that demonstrates the need for this position in your community. When answering this question, use only local community-based data rather than national data. The data must be current, local, and representative of the applicant's county(s) or tribe(s), and directly support the need for the requested program or service. Contact the Juvenile Justice Institute for assistance in obtaining necessary data.
 - a. List all funding sources for this program since 2016, including grant program and number: When answering this question, provide all the ways this program is funded in whole or in part over the previous 3 years, including how it is currently funded. (i.e. Community-based Aid 17-CB-123; Community-based Aid 18-EB-456; Juvenile Services 18-JS-789; County Funds 2019).
 - b. List any pending applications for funding within the last 12 months or that will be submitted in the next 6 months that include requests for funding to support the same project being requested under this application: Provide any pending grants submitted or applications that will be submitted within the next 6 months that will request funding for the same program.
- 2. Describe how this program or services aligns with the funding purpose outlined in the Request for Application and meets the needs of juveniles and families in your community: Review the funding purpose on page 4 of this Request for Application. Describe how this program or service fits within that funding purpose and how this program or service will meet the needs of youth and families in your community.
- 3. Is this program or service listed as a strategy in your community plan? Check "yes" or "no". In accordance with Title 75, Chapter 1, each funding request is to be written consistent with the program development strategies outlined in the community plan. If no, then electronically submit the revised community plan that includes this request as a strategy. The community plan must be submitted with your application. If the program or service is not written consistent with the development strategies in your community plan, then the request shall be denied, unless a revised community plan is submitted to the Nebraska Crime Commission to include the necessary revisions.
- 4. Provide a description of the system improvement service by answering the following:
 - a. Explain the purpose of the system improvement service: Describe how this service will address the priorities in the community plan.
 - b. Describe the key activities that will be provided.
 - c. List the expected changes that the service will likely bring to your community.
- 5. Describe any accomplishments and progress this service has made with system improvement efforts over the last year: Briefly provide a narrative on what this service has accomplished over the previous year to meet the priorities in the community plan and why the service needs to be funded to continue to meet those priorities.

6. Describe any barriers encountered with system improvement implementation and how they were addressed:

Briefly provide a narrative on any barriers with moving system improvement forward in your community, how they are being addressed, how this service will overcome those barriers and why this service needs to be funded to do that.

SECTION III: BUDGET

Budget Summary Table: Enter the total amount of grant funds requested in each category. Provide budget numbers by rounding up or down to the nearest dollar.

Personnel-County/Tribe: Funds in this category should reflect positions hired directly by the county/tribe. Personnel refer to wages and fringe benefits for regular full-time or part-time salaried employees for the county/tribe. Other persons working on the project who are not on the regular payroll for the county/tribe must be classified in the sub-awards/contracts category of the application. Salaries may not exceed those normally paid for comparable positions in the community and/or unit of government. Any position 100% funded through grant funds must be 100% dedicated to the grant program's allowable activities. The percentage of funds requested through CB/EB for a position must not exceed the percentage of time devoted to job functions related to the CB/EB Program. For example, a request that 50% of a Community Specialist's position be paid through CB; however, the Community Specialist is devoting 25% of their time to job functions for CB – this is unallowable. Costs for wages in excess of base rate of pay are unallowable.

Personnel Table (County/Tribe Employees)

- **Program Title** List the program title associated with the Program Type Table.
- Position Title and Name List title of the position that is being requested and individual name of the
 person holding the position.
- Agency Name- List the name of the agency employing the position being requested.
- Full-time or Part-Time Indicate whether a position is full-time by typing "F" or part-time by typing "P".
- **New or Existing** Indicate whether a position is new by typing "N" or existing by typing "E". If a position is being requested for the first time through Community-based Juvenile Services Aid, but has been existing then that position is considered "E".
- Current Annual Salary List the current annual salary of the corresponding position.
- Projected Annual Salary List the projected annual salary that will become effective on July 1, 2020.
- **Percent Time Devoted** List the percentage of time devoted to Community-based Juvenile Services Aid for each position listed. This includes the time projected to be spent on all allowable requests listed in the grant application. (2080 hours annually = 100% or full-time)
- Requested Wages List the amount of wages being requested through the grant application.
- Requested Fringe List the amount of fringe benefits being requested through the grant application.
 All fringe benefits are to be based on the employer's share only. The employee's share is to be withheld from his/her wages. Only basic fringe benefits of insurance offered by the agency (health, life, professional liability, etc.), FICA, unemployment insurance, workers compensation, costs of leave (holidays, sick leave, vacation), and pension/retirement can be included. Vacation and leave time are included in normal working hours (FTE 2080 hours/year) and are not added benefits. Funds to support cafeteria plans are not allowed by the Nebraska Crime Commission.
- **Requested Total** List the sum of the "requested wages" and "requested fringe". This will provide the amount of total funds being requested for each position to include wages and fringe benefits.
- **Total Project Cost** List the sum of the "requested wages", and "requested fringe". This is the total cost of a position that is being requested by the county/tribe.

Personnel Table Budget Breakdown: A budget breakdown must be completed for each position:

- **1. Program Title and Position Title:** List the title of the program and title of the personnel position. This will match the program and position listed in the Personnel Table.
- 2. Is this position new or existing: Check "new" or "existing".
- 3. If existing, describe how this position was previously funded: Some examples of previous funding may include, but are not limited to: county funds, tribe funds, different grant source, Community-based Juvenile Services Aid, DHHS, etc.

- 4. Briefly describe how this request complies with the non-supplanting requirement: Provide an explanation that funds being requested for this position will not be used to supplant (replace) state, local, or tribal funds that would, in the absence of Community-based Aid funds, be made available for grant purposes.
- **5. Provide job description**: Complete one of the following options:
 - a) If the position is already created and existing then attach the official job description.
 - b) If the position is new then write a summary of the anticipated job duties in the space provided for this question. If the position is new and a job description has already been created then attach the official job description to the grant application.
- **6. Provide justification for an annual salary increase:** If this position is expected to obtain an annual salary increase, provide the reason and include documentation to justify the increase. Review the first paragraph on page eight of this RFA for information on allowable increases.
- 7. Provide a personnel budget breakdown on the following:
 - a) Breakdown of wages requested for each position.
 - Example 1: 1,900 hours x \$20.00/hour = \$38,000
 - Example 2: 2,080 hours x \$18.75/hour = \$39,000
 - b) Breakdown of fringe benefits requested for each position. In the example, note how fringe benefits are appropriately pro-rated based on the amount of state dollars requested. Only include basic fringe benefits and provide details explaining each benefit requested. Consider the following: Health Insurance @ \$6,000 per year/single coverage; FICA @.0765 of total salary, etc.
 - Example 1: \$38,000 x .0765 (FICA) = \$2,907 + \$6,000 (health insurance) = \$8,907
 - Example 2: \$39,000 x .0765 (FICA) = \$2,984 + \$6,000 (health insurance) = \$8,984

Travel-County/Tribe: All travel requests will abide by the policies and procedures of the Nebraska Crime Commission and the Nebraska Department of Administrative Services. Currently, out-of-state travel is unallowable. In-state travel is limited to mission critical initiatives. If the out-of-state travel ban is removed in the future, grantees will submit an Out-of-State Travel Request form at least 6 weeks prior to travel. Copy the travel expenses form and complete it for each travel purpose. Rates for meals and lodging are listed on the General Services Administration website (GSA) and are subject to change every year on October 1. *All travel expenses listed below are reimbursed for actual expenses incurred within allowable rates only.*

- **Program Title** List the program associated with the Program Type Table.
- Travel Purpose List the purpose funds are being requested for travel.
- **Type of Travel** Check whether the travel is within the community (local) or in-state. Currently, out-of-state travel is unallowable.
- Justification for Travel Provide an explanation for this travel request and how it will benefit youth being served by programs and services in the community. If this travel request is for administration, provide an explanation for this travel request and how it will benefit the community and youth being served. Explain how this request aligns with the funding purpose listed in this Request for Application.
- Position(s) which will be traveling for this purpose List the positions that will be traveling for the purpose stated.
- Cost breakdown:
 - ✓ **Mileage** Reimbursable at the rate listed for business travel specified on the IRS website: https://www.irs.gov/tax-professionals/standard-mileage-rates
 - ✓ Airfare Air travel should be utilized when it is more economical than surface transportation. Reimbursement for commercial air travel will be limited to "coach" fare. Airfare that exceeds \$500 must obtain written approval by the Community-based Juvenile Services Aid Program Manager.
 - ✓ **Lodging** Available for reimbursement the night before an activity begins through the night before an activity ends. Rates must not exceed those listed for the location of travel which is found on the General Services Administration (GSA) website: http://www.gsa.gov/portal/category/100120

- ✓ Meals Rates must not exceed those listed for the location of travel which is found on the General Services Administration (GSA) website: http://www.gsa.gov/portal/category/100120. Additionally, incidentals are not reimbursable through this grant. Breakfast, lunch, and dinner are allowed based on the following set of guidelines:
 - Tips are not to exceed 20% of the actual allowable cost.
 - Receipts must be separate for each individual, with the exception of multiple youth receiving meals in the same establishment.
 - · Receipts must be itemized.
 - · Alcohol must not be listed on the receipt.
 - If meals are provided at a conference, reimbursement for additional meals or as a replacement will not be reimbursed unless there are extenuating circumstances.
 - · Allowable timeframe to request reimbursement for meals when traveling overnight:
 - Breakfast: When individual leaves for overnight travel at or before 6:30 a.m.
 - Lunch: When individual leaves for overnight travel at or before 11:00 a.m. or returns from overnight travel at or after 2:00 p.m.
 - Dinner: When individual returns from overnight travel at or after 7:00 p.m.
 - One-day travel: Breakfast shall be reimbursed when an individual leaves before 6:30 a.m. Dinner shall be reimbursed when an individual returns after 7:00 p.m. Lunch is not reimbursed for one-day travel.
- ✓ Other The following fees are reimbursable travel:
 - Luggage Fees One checked bag is reimbursable. The Crime Commission is not responsible for additional fees incurred for exceeding the maximum weight limitations for standard luggage fees.
 - Private Transport Must be reasonable and comparable to public transportation if available. Several forms of private transport include Uber, Lyft, taxi, etc. Tips are not to exceed 20% of the bill.
 - Public transport Metro, bus, train, ferry, trolley, subway, etc.
 - Rental Car Only allowable if written approval is obtained by the Community-based Juvenile Services Aid Program Manager. A cost analysis will need to be submitted which details that renting a car is less expensive than utilizing public transportation from the airport to the lodging facility.
 - Parking When utilizing air travel, parking costs for a vehicle are reimbursable for only
 the necessary days of travel. Parking costs for personal travel are the responsibility of
 the traveler. The parking option utilized must be economical and comparable to the
 other parking options surrounding the airport.

Operating Expenses-County/Tribe: See "Funding Limitations" in this document to receive guidance on unallowable/allowable operating expenses. Complete all blanks in the table when requesting funds for operating expenses to include the rate per month, amount requested, and total cost. If requesting funds for the one-time use to convert an existing juvenile detention facility or other existing structure for use as an alternative to detention, please contact the Community-based Juvenile Services Aid Program Manager to complete a separate form that is required to be submitted in addition to the grant application.

Operating Expenses Budget Breakdown:

- If you are requesting funds for educational materials, list the name <u>and purpose</u> for each material: List the name or title of the educational materials being requested. Additionally, list why this specific educational material is utilized for the youth being served.
- Provide research that supports the selection of the educational materials: List any information or resources that were utilized to choose the educational materials that are being listed. Grant reviewers desire to understand the reason and method used when deciding to use a specific educational material.
- Explain how each operating expense listed above will benefit a program or service listed in this grant application: There are several operating expenses that are allowable and an explanation is needed for each request for this question. For example, if incentives for youth are being requested, then explain how purchasing incentives has benefited that particular service or program. What has been witnessed as a result of purchasing incentives for youth? Did their attendance increase? Did their grades increase? If so,

what data or information was used to support the purchase of these incentives?

• Provide a breakdown of costs for each line item above: Answer this question for all requests in the operating expenses table. For example, if incentives for youth are being requested at a rate of \$500/month in the operating expenses table, this does not provide a detailed breakdown. Therefore, for this question, a breakdown would be provided such as: \$50 incentives for 10 youth per month = \$500/month.

Sub-Awards/Contracts: Funds in this category should reflect programs/services sub-awarded or contracted out to agencies or individuals. If the county/tribe does not directly operate the program, but relies on another entity to run the entire program, the whole budget is shown as a sub-award. There must be a sub-award with signed special conditions with the sub-award agency. Fee for service contracts must have a current legal contract between the lead county/tribe and the contractor. Only utilize one table (Contract Fee for Service or Personnel Table [Non-County/Tribe Employees]) for each request. IMPORTANT – The County/Tribe is fiscally responsible and must ensure all funds are spent properly by all sub-awarded/contracted programs/services. Contracts must adhere to the federal guidelines of the maximum rate of \$81.25/hour or \$650/day. The rate for independent contractors must be reasonable and consistent with that paid for similar services in the market. Lodging, meals, and travel costs should be included in the daily rate, prohibiting additional charges above the full daily rate. Grantees must adhere to Nebraska Revised Statute §23-3108. All other entities must follow their written procurement process and if a process is not in place, then the entity must use Nebraska's procurement process governed by DAS.

- Contract Fee for Service Table: Use this table for independent contractors who provide service for a flat fee rate.
 - **Program Title** List the program title associated with the Program Type Table.
 - **Service Type** List the type of service being requested such as tracker, electronic monitoring, mediation, counseling, presenter, etc.
 - Provider Name List the agency or contractor responsible for providing service type.
 - Rate list the dollar amount that is being requested either hourly or daily.
 - **Number of Hours/Days** List the amount of hours or days this service is being requested. Then check the box to denote whether the rate is being charged either by the hour or day.
 - Amount Requested List the amount that is being requested in this box. Multiply the rate by the number of hours/days.
 - Total cost List the total project cost which is the sum of the amount requested.
- 2. Sub-Award Personnel Table (Non-County/Tribe): This chart is used for personnel of the sub-awarded agency requesting funds for programs within their corresponding county/tribe. All positions being requested that are not directly funded by the county/tribe will be listed in this table. These positions are personnel of the sub-awarded agency. This table is exactly the same as the County/Tribe Personnel Table; therefore, refer to those same instructions.
 - **Sub-Award Personnel Table (Non-Country/Tribe) Budget Breakdown**: Refer to the instructions outlined for County/Tribe Personnel Budget Breakdown. Expectations are the **same** for positions being requested in the Personnel category for county/tribe employees and positions being requested in the Sub-Awards/Contracts category for non-county/tribe employees.
- 3. Sub-Award Travel Expenses: Funds in this category should reflect travel expenses of non-county/tribe personnel of the sub-awarded agency. Refer to the travel instructions outlined in County/Tribe Travel, as this section adheres to the same set of guidelines. All travel requests will abide by the policies and procedures of the Nebraska Crime Commission and the Nebraska Department of Administrative Services. Currently, out-of-state travel is unallowable. In-state travel is limited to mission critical initiatives. Copy the travel expenses form and complete it for each travel purpose. Rates for meals and lodging are listed on the General Services Administration website (GSA) and are subject to change every year on October 1. *All travel expenses listed below are reimbursed for actual expenses incurred within allowable rates only*
- 4. Sub-Award Operating Expenses: See "Funding Limitations" in this document to receive guidance on unallowable/allowable operating expenses. Complete all blanks in the table when requesting funds for operating expenses to include the rate per month, amount requested, and total cost. If requesting funds for

the one-time use to convert an existing juvenile detention facility or other existing structure for use as an alternative to detention, please contact the Community-based Juvenile Services Aid Program Manager to complete a separate form that is required to be submitted in addition to the grant application.

Operating Expenses Budget Breakdown: Refer to the operating expenses budget breakdown outlined in County/Tribe Operating Expenses, as this section adheres to the same set of guidelines.

Sub-Awards/Contracts Total: Enter the total amount requested for sub-awards and contracts to include contract fees, personnel fees, travel fees, and operating fees. Place them in the appropriate category.

*All rates, item descriptions, and budgeting requirements are derived from the Nebraska Department of Administrative Services - State Accounting Division, Office of Justice Programs 2017 Financial Guide, Title 75, Chapter 1, and Operating Instructions at the Nebraska Commission on Law Enforcement and Criminal **Justice**

SECTION IV: COMMUNITY PLANNING INFORMATION

COMMUNITY TEAM INFORMATION

Community planning cannot be accomplished by one or two individuals. It requires a committed collaborative of stakeholders who meet regularly to develop and enact the community plan. Provide information as it relates to the community team that is responsible for the development and implementation of the community plan.

- 1. Did the community team vote and approve the requests in this application: Check "yes" or "no". It is required that funding requests in the application be determined by a majority vote among the community planning team.
 - a) If no, how was this decision made: Explain the process in which the funding requests were determined in the application.
 - b) Provide the meeting date and agenda when the application was approved: The Nebraska Crime Commission may require meeting minutes in the future.
- 2. Did the community planning team meet quarterly: It is highly recommended that the team meet quarterly or more often. If the team met quarterly or more often check "yes", if less than quarterly check "no".
 - a) If no, explain the barriers that prevented regular community meetings: Please list the specific issues that prevented the team from meeting regularly (e.g. geography, staffing, resources, schedules, etc.).
 - b) Describe the proposed solutions to the barriers above: Provide specific details for addressing these barriers and the planning process for the community team to schedule regular meetings.
 - c) List the community stakeholders represented at the community team meetings: List the agencies that are represented at community team meetings on a regular basis.
- 3. Did the community team contribute to the development of the community plan: Check "yes" or "no". Community planning cannot be accomplished by one or two individuals. It requires a committed collaborative of stakeholders who meet regularly to develop and enact the community plan.
 - a) If no, explain:
 - b) How were the priorities and strategies in the community plan determined:
 - c) Are all of the priorities in your community plan being addressed: Check "yes" or "no".
 - d) If no, explain:

COMMUNITY SERVICE ARRAY QUESTIONNAIRE

It is crucial that the community team is assessing the programs and services necessary to adequately serve the youth and families in their community, and identifying gaps and needs. Provide information relevant to the service array that exists in your community, and any gaps or barriers to services identified. The funding requests shall align with the priorities in the community plan and aim to meet the needs in the community.

Community Service Type: For each service type listed, choose if it currently exists in your community and is funded by Community-based Aid funding; if it currently exists in your community and is funded another way; or if the service does not exist in your community. Proceed in answering the questions that follow.

SECTION V: MEMORANDUMS OF UNDERSTANDING

For counties or tribes applying as a group, submit a current copy of the MOU(s) signed by each participating county board chair or tribal council chair confirming the county or tribal commitment to combine Community-based Juvenile Services Aid funds to accomplish the project(s) outlined in the application.

SECTION VI: REQUIRED FORMS

Read all required forms and the Community-based Juvenile Services Aid Financial Guidebook carefully and have them signed by the applicable signatory (Authorized Official (Lead County Board Chairperson or Tribal Council Chairperson) or other grant personnel) for the grant application.

DISTRIBUTION OF FUNDS 2010 CENSUS

County	Age 12-18 Pop.	Percent of Age 12-18 Pop.	Allotment
Adams	3,001	1.69%	\$101,325
Antelope	621	0.35%	\$20,967
Arthur	44	0.02%	\$5,000
Banner	68	0.04%	\$5,000
Blaine	54	0.03%	\$5,000
Boone	590	0.33%	\$19,921
Box Butte	1,113	0.63%	\$37,579
Boyd	200	0.11%	\$6,753
Brown	289	0.16%	\$9,758
Buffalo	4,323	2.43%	\$145,960
Burt	626	0.35%	\$21,136
Butler	888	0.50%	\$29,982
Cass	2,616	1.47%	\$88,326
Cedar	967	0.54%	\$32,649
Chase	352	0.20%	\$11,885
Cherry	545	0.31%	\$18,401
Cheyenne	911	0.51%	\$30,759
Clay	676	0.38%	\$22,824
Colfax	1,049	0.59%	\$35,418
Cuming	924	0.52%	\$31,198
Custer	1,056	0.59%	\$35,654
Dakota	2,382	1.34%	\$80,425
Dawes	878	0.49%	\$29,645
Dawson	2,646	1.49%	\$89,339
Deuel	153	0.09%	\$5,166
Dixon	643	0.36%	\$21,710
Dodge	3,417	1.92%	\$115,371
Douglas	49,210	27.65%	\$1,661,511
Dundy	199	0.11%	\$6,719

Fillmore	656	0.37%	\$22,149
Franklin	308	0.17%	\$10,399
Frontier	294	0.17%	\$9,927
Furnas	519	0.29%	\$17,523
Gage	2,027	1.14%	\$68,439
Garden	170	0.10%	\$5,740
Garfield	207	0.12%	\$6,989
Gosper	183	0.10%	\$6,179
Grant	54	0.03%	\$5,000
Greeley	234	0.13%	\$7,901
Hall	5,839	3.28%	\$197,146
Hamilton	1,045	0.59%	\$35,283
Harlan	329	0.18%	\$11,108
Hayes	113	0.06%	\$5,000
Hitchcock	234	0.13%	\$7,901
Holt	1,030	0.58%	\$34,777
Hooker	71	0.04%	\$5,000
Howard	644	0.36%	\$21,744
Jefferson	610	0.34%	\$20,596
Johnson	396	0.22%	\$13,370
Kearney	651	0.37%	\$21,980
Keith	741	0.42%	\$25,019
Keya Paha	84	0.05%	\$5,000
Kimball	343	0.19%	\$11,581
Knox	871	0.49%	\$29,408
Lancaster	24,217	13.61%	\$817,655
Lincoln	3,455	1.94%	\$116,654
Logan	69	0.04%	\$5,000
Loup	59	0.03%	\$5,000
Madison	3,450	1.94%	\$116,485
McPherson	48	0.03%	\$5,000
Merrick	823	0.46%	\$27,788
Morrill	477	0.27%	\$16,105
Nance	362	0.20%	\$12,222
Nemaha	698	0.39%	\$23,567

Nuckolls	363	0.20%	\$12,256
Omaha Tribe	1,569	0.88%	\$52,976
Otoe	1,538	0.86%	\$51,929
Pawnee	273	0.15%	\$9,217
Perkins	276	0.16%	\$9,319
	881	0.50%	\$29,746
Phelps	805		\$27,180
Pierce		0.45%	\$112,771
Platte	3,340	1.88%	\$17,253
Polk	511	0.29%	-
Ponca Tribe	5	0.00%	\$5,000
Red Willow	1,056	0.59%	\$35,654
Richardson	772	0.43%	\$26,066
Rock	111	0.06%	\$5,000
Saline	1,466	0.82%	\$49,498
Santee Tribe	342	0.19%	\$11,547
Sarpy	16,249	9.13%	\$548,626
Saunders	2,182	1.23%	\$73,672
Scotts Bluff	3,495	1.96%	\$118,004
Seward	1,713	0.96%	\$57,837
Sheridan	502	0.28%	\$16,949
Sherman	278	0.16%	\$9,386
Sioux	114	0.06%	\$5,000
Stanton	654	0.37%	\$22,081
Thayer	474	0.27%	\$16,004
Thomas	56	0.03%	\$5,000
Thurston	854	0.48%	\$28,834
Valley	380	0.21%	\$12,830
Washington	2,194	1.23%	\$74,078
Wayne	925	0.52%	\$31,231
Webster	402	0.23%	\$13,573
Wheeler	97	0.05%	\$5,000
Winnebago Tribe	1,032	0.58%	\$34,844
York	1,292	0.73%	\$43,623
Total	177,953	100.00%	\$6,048,000.00

APPENDICES

APPENDIX A



Evidence-Based Practices Brief EB-NE Brief #2: August 2018

in conjunction with NEBRASKA

Good Life. Great Service.

COMMISSION ON LAW ENFORCEMENT

Preventing Delinquency & Promoting Prosocial Activities

Recognizing the need to support youth before problem behaviors take shape, prevention programs emerged in the 1970s to address the needs of youth and families; however, these early programs were rarely rooted in either theory or research on childhood development. Consequently, programs began incorporating information gleaned from longitudinal studies to address specific risk factors identified as predictive of problem behaviors in youth. In the 1980s, prevention efforts often focused on a single problem behavior, however in the early 1990s, consensus emerged that programs should expand beyond focusing on a single problem behavior and instead examine cooccurrence of problem behaviors and common predictors of multiple problem behaviors (Catalano et al., 2004). Further, prevention efforts recognized that promoting positive youth development was just as important as avoiding negative behaviors (Catalano et al., 2004; W.T. Grant Consortium, 1992).

Communities that Care

Communities that Care (CTC) is a prevention framework system that aims to reduce risk and prevent problem behaviors, while enhancing protection and promoting healthy youth development (Hawkins 1999). CTC seeks to prevent problem behaviors and promote

positive development by creating opportunities for prosocial involvement. To do so, CTC involves trained community stakeholders who assess community risk with epidemiological data, create a community-specific profile of risk, and then target two to five elevated risk factors by implementing evidence-based effective prevention programs in the community. Changes in the prevention service system are expected to reduce risk and strengthen protective factors at the community-level. With respect to protective factors, CTC coalitions are asked to provide developmentally appropriate opportunities for prosocial activities, teach them skills to successfully be involved, and provided consistent recognition of their efforts and achievements (Kim et al., 2015). To empirically test the efficacy of the CTC framework, a randomized control trial in 24 communities and seven states was conducted in which youth in each community were surveyed annually for seven years. The general findings indicated that communities implementing CTC significantly reduced the onset of substance use and delinquency through high school (Catalano et al., 2014).

Principles of Effective Programs

In reviewing the vast literature on prevention and promotion programs, Nation and colleagues (2003) identified nine general principles of effective programs aimed at preventing delinquency-related behaviors.

Program Characteristics

- (1) Comprehensive: including a combination of interventions to address the problem behavior, and engaging multiple systems or domains that have an impact on the behavior (e.g., schools, family, community, peers)
- (2) Varied teaching methods: emphasizing the needs for active, skills-based component that provides hands-on experiences that increase the development of cognitive, language, and social skills necessary for the target behavior; but not to rely too much on knowledge, information, or group discussions
- (3) Provided sufficient dosage: enough exposure to the intervention to have an impact (intensity of program measured in quantity and quality of contact hours), with the risk level of the youth taken into account and providing follow-up or booster sessions.

CBA1006- Rev. -18

- 4) Theory-driven: based on scientific justification, including etiological theories focused on the causes of the problem behavior (e.g., risk or protective factors); and intervention theories focused on the best method for changing etiological risks.
- (5) Provided opportunities for positive relationships: providing exposure to individualized, healthy attention from adults (e.g., parents, other adult mentors) and peers that promote strong relationships and support positive outcomes

Matching the Program with Target Populations

- (6) Appropriately timed: implementing interventions early enough to have an impact on the development of the problem behavior (prior to the problem behavior) and are sensitive to the developmental needs of the youth
- (7) Socio-culturally relevant: programming should be both culturally relevant to youth in the program and should be tailored to address the individual needs of the youth (not a one-size -fits all) Implementation and Evaluation

Implementation and Evaluation

- (8) Outcome evaluation: clear goals and objectives that can be systematically evaluated periodically to document outcomes relative to the goals to measure of program effectiveness
- (9) Well-trained staff: staff should be sensitive, competent, and receive sufficient training, support and supervision; considering turnover,

morale, and buy-in

Nebraska Prevention and Promotion Programs

The Community-based Aid (CBA) grant process follows a similar framework to the CTC framework. Nebraska communities select community stakeholders who are responsible for identifying appropriate community-level data to create a community-specific risk profile and then select appropriate evidence-based programs to meet the community's needs. One method for this process, as recommended by the Juvenile Justice Institute (JJI), is to provide the modified Risk and Protective factors survey created by JJI to youth enrolling in CBA-funded programs and then comparing this

data to the state and county-level data provided by the Bureau of Sociological Research (BOSR) at the University of Nebraska-Lincoln (https://bosr.unl.edu/sharp).

To assist communities in identifying their community-specific risk profile, the Juvenile Justice Institute has prepared program-specific reports for each CBA-funded promotion/ prevention program. There are also other data sources available online to meet these needs. The nine principles of effective programming can then be used to assess whether programs are evidence-based. If a program is not currently meeting these nine, then they should demonstrate how they plan to meet all nine elements in the upcoming year.

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et al., 129-48. San Francisco: Jossey-Bass.

APPENDIX B

Risk and Criminogenic Need Factors

Protective Factors and Assets

INDIVIDUAL

Drug/Alcohol use Positive attitudes, values, and beliefs
Learning disability High personal drive/self-esteem

Anxiety/depression Positive mental, physical, and emotional health

Mental health disorder(s)

Use of positive coping skills

Antisocial attitudes Well-developed communication skills
Sensation seeking Self-regulation/Impulse control

Defiance of authority

Positive attitudes/relations with authoritative figures

Lack of concern for others Compassion for others

Inappropriate use of time (youth programs, athletics, etc.)

Previous victimization Problem-solving skills

FAMILY

Lack of supervision Adequate parental monitoring

Lack of discipline Consistent discipline

Low parental warmth

Parental hostility

Positive student-parent bond

Language-based discipline

Abusive parent(s) Positive adult role models
Parental substance use Clear expectations of proso

Clear expectations of prosocial behaviors/values

Poor prenatal and early childhood health

Family violence

Healthy prenatal and early childhood care
Supportive adult(s) within the home

EDUCATION/COMMUNITY

Truancy Regular attendance and engagement in school

Low achievement High academic standards
Low attachment Positive teacher relationships
Low literacy Access to academic support

High crime neighborhood Access to physical and mental healthcare

Disenfranchised neighborhood Community values youth

Strong neighborhood attachment

PEERS

Deviant peer groups Healthy/Positive peer relationships
Supportive social group

Resources:

Arthur, M. W., Hawkins, J. D., Pollard, J. A., Catalano, R. F., & Baglioni Jr, A. J. (2002). Measuring risk and protective factors for use, delinquency, and other adolescent problem behaviors: The Communities That Care Youth Survey. Evaluation review, 26(6), 575-601.

National Institute on Drug Abuse. (2003). What are risk factors and protective factors? Retrieved from https://www.drugabuse.gov/publications/preventing-drug-abuse-among-children-adolescents/chapter-1-risk-factors-protective-factors/what-are-risk-factors.

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Substance Abuse and Mental Health Services Administration. (2018). Risk and Protective Factors. Retrieved from https://www.samhsa.gov/capt/practicing-effective-prevention/prevention-behavioral-health/risk-protective-factors.

APPENDIX C

REQUIRED DOCUMENTS CHECKLIST

С	ompleted	Application Sections	Description		
Sec	Section I: Applicant Information				
	Date	Applicant Information	See RFA for definitions. Use the same Applicant Information for both CB and EB applications.		
Sec	ction II: Prog	ram Summary			
	Date	Program Type Table	See UNO EB-NE website for definitions.		
	Date	Narrative for New Program	Each new program from the program type table must have its own narrative. See RFA for instructions.		
	Date	Narrative for Existing Programs	Each existing program from the program type table must have its own narrative. See RFA for instructions.		
	Date	Narrative for System Improvement	Each system improvement request must have its own narrative. See RFA for instructions.		
Sec	ction III: Bud	get			
	Date	Budget and Narratives	Each request must be in the appropriate budget table with a narrative. See RFA for instructions.		
Sec	ction IV: Con	nmunity Planning Information			
	Date	Community Team Information	See RFA for instructions.		
	Date	Community Service Array Questionnaire	Completed with the community team. See RFA for instructions.		
Other Attachments					
	Date	Memorandums of Understanding	Obtain signed MOU for each county or tribe applying as a group on this application.		
	Date	Certified Assurances	Must be signed by Authorized Official, either Digital Signature or hand signed and scanned.		
	Date	Certifications Regarding Lobbying; Debarment; and Drug Free Workplace	Must be signed by Authorized Official, either Digital Signature or hand signed and scanned.		

APPENDIX D

U.S. Department of Labor

Wage and Hour Division



Fact Sheet #13: Employment Relationship Under the Fair Labor Standards Act (FLSA)

This fact sheet provides general information concerning the meaning of "employment relationship" and the significance of that determination in applying provisions of the FLSA.

Characteristics

An employment relationship under the FLSA must be distinguished from a strictly contractual one. Such a relationship must exist for any provision of the FLSA to apply to any person engaged in work which may otherwise be subject to the Act. In the application of the FLSA an employee, as distinguished from a person who is engaged in a business of his or her own, is one who, as a matter of economic reality, follows the usual path of an employee and is dependent on the business which he or she serves. The employer-employee relationship under the FLSA is tested by "economic reality" rather than "technical concepts." It is not determined by the common law standards relating to master and servant.

The U.S. Supreme Court has on a number of occasions indicated that there is no single rule or test for determining whether an individual is an independent contractor or an employee for purposes of the FLSA. The Court has held that it is the total activity or situation which controls. Among the factors which the Court has considered significant are:

- 1) The extent to which the services rendered are an integral part of the principal's business.
- 2) The permanency of the relationship.
- 3) The amount of the alleged contractor's investment in facilities and equipment.
- 4) The nature and degree of control by the principal.
- 5) The alleged contractor's opportunities for profit and loss.
- 6) The amount of initiative, judgment, or foresight in open market competition with others required for the success of the claimed independent contractor.
- 7) The degree of independent business organization and operation.

There are certain factors which are immaterial in determining whether there is an employment relationship. Such facts as the place where work is performed, the absence of a formal employment agreement, or whether an alleged independent contractor is licensed by State/local government are not considered to have a bearing on determinations as to whether there is an employment relationship. Additionally, the Supreme Court has held that the time or mode of pay does not control the determination of employee status.

Requirements

When it has been determined that an employer-employee relationship does exist, and the employee is engaged in work that is subject to the Act, it is required that the employee be paid at least the Federal minimum wage of \$5.85 per hour effective July 24, 2007; \$6.55 per hour effective July 24, 2008; and \$7.25 per hour effective July 24, 2009, and in most cases overtime at time and one-half his/her regular rate of pay for all hours worked in

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excess of 40 per week. The Act also has <u>youth employment</u> provisions which regulate the employment of minors under the age of eighteen, as well as <u>recordkeeping</u> requirements.

Typical Problems

(1) One of the most common problems is in the construction industry where contractors hire so-called independent contractors, who in reality should be considered employees because they do not meet the tests for independence, as stated above. (2) Franchise arrangements can pose problems in this area as well. Depending on the level of control the franchisor has over the franchisee, employees of the latter may be considered to be employed by the franchisor. (3) A situation involving a person volunteering his or her services for another may also result in an employment relationship. For example, a person who is an employee cannot "volunteer" his/her services to the employer to perform the same type service performed as an employee. Of course, individuals may volunteer or donate their services to religious, public service, and non-profit organizations, without contemplation of pay, and not be considered employees of such organization. (4) Trainees or students may also be employees, depending on the circumstances of their activities for the employer. (5) People who perform work at their own home are often improperly considered as independent contractors. The Act covers such homeworkers as employees and they are entitled to all benefits of the law.

Where to Obtain Additional Information

For additional information, visit our Wage and Hour Division Website: http://www.dol.gov/whd/ and/or call our toll-free information and helpline, available 8 a.m. to 5 p.m. in your time zone, 1-866-4USWAGE (1-866-487-9243).

This publication is for general information and is not to be considered in the same light as official statements of position contained in the regulations.

U.S. Department of Labor Frances Perkins Building 200 Constitution Avenue, NW Washington, DC 20210 1-866-4-USWAGE TTY: 1-866-487-9243 Contact Us